

# Pecyn Dogfennau Cyhoeddus



The following reports are Information Items for the Education for Life Scrutiny Committee.

- 1. Cynllun Ariannol Addysg a Dysgu Gydol Oes**
- 2. Arweiniad Addysg a Dysgu Grantiau Oes**
- 3. Deddf a Diwygiad ADY**



## EDUCATION FOR LIFE SCRUTINY COMMITTEE – 3RD JULY 2018

**SUBJECT: FINANCIAL PLAN EDUCATION AND LIFELONG LEARNING 2018/19**

**REPORT BY: CHIEF EDUCATION OFFICER**

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### **1. PURPOSE OF REPORT**

- 1.1 To provide members with details of the Financial Plan for Education & Lifelong Learning for 2018/19.

### **2. SUMMARY**

- 2.1 The Financial Plan outlines the details of how the Directorate's total available budget has been allocated for the financial year.

### **3. LINKS TO STRATEGY**

- 3.1 The report identifies how the Directorate intends using the allocated resources to ensure that key strategies are achieved. The content of this report is in accordance with the budget and medium term financial strategy, considered and approved by Council on 22<sup>nd</sup> February 2018.
- 3.2 Effective financial planning and financial controls contribute to the Well-being Goals within the Well-being of Future Generations Act (Wales) 2015. In particular as follows:

A healthier Wales, supporting vulnerable learners can improve their well-being and educational achievement.

A more equal Wales, a society that enables people to fulfil their potential no matter what their background is; and

A Wales of cohesive communities, improving quality of life with attractive, viable, safe and well connected communities.

### **4. THE REPORT**

#### **4.1 2018-19 Revenue Budget**

- 4.1.1 The final 2018/19 Local Government Financial Settlement announced on the 20<sup>th</sup> December 2017 showed an increase in the Aggregate External Finance (AEF) of £3.613m (1.37%) for Caerphilly CBC. It should be noted that whilst the Final Settlement resulted in a cash increase for Caerphilly CBC, after adjusting for new responsibilities and grants transferred into and out of the settlement the new position is an effective cash reduction of £1.778m. Further details are available in Budget Proposals 2018/19 and Medium Term Financial Strategy 2018/2023 Report to Council (22<sup>nd</sup> February 2018).

4.1.2 Members will recall that as a consequence of the financial settlement a package of savings totalling £6.736m was agreed to enable the Council to deliver a balanced budget for the 2018/19 financial year. As part of the £6.736m, specific savings for Education & Lifelong Learning total £1.221m.

4.1.3 The approved 2018/19 budget for Education & Lifelong Learning totals £125m.

## 4.2 Education & Lifelong Learning

4.2.1 As part of the Authority's budget process the Directorate has been given budgetary uplift of 2.2% (weighted average) for anticipated pay award costs, plus an uplift for the living wage and an increase of 2% for non-pay related inflation. In addition budgetary uplift was also provided to fund the 0.28% increase in pension contribution costs, this follows the most recent triennial valuation of the Pension Fund. These uplifts exclude Teachers and other school based staff.

4.2.2 In 2018/19 Schools have been allocated budgetary growth of £547k and will be required to manage their own pay and non pay inflationary increases, along with any other emerging pressures. The Individual Schools Budgets (£98m delegated directly through the formula & £4m Post 16 grant & revenue support grant funded) will need to fund any pay, price and other growth related pressures within the cash limited budget.

4.2.3 An updated MTFP for Schools is included in the Report to Council. This update assumes that schools will receive an annual uplift in funding of 1.25% from 2019/20 to 2022/23, but this will be subject to review as the Authority's savings requirements become clearer. Currently there is a shortfall of 1.68% for 2018/19, 1.15% for 2019/20, 0.90% for 2020/21, 1.10% for 2021/22 and 1.26% for 2022/23.

4.2.4 Members will be aware of the "No Public Impact" classification when reviewing savings proposals. The 2018-19 proposals for the Directorate include £828k of savings that are identified with a no public impact classification. The proposals in this category consist in the main of vacancy management, budget realignment and minor changes to service provision.

4.2.5 The balance of the savings target (£393k) for the Directorate in 2018/19 relates to the following specific savings proposals:

Maintenance of School Buildings (50/50 Funding with Schools)	£333k
Library Service – Book Fund Reduction	£25k
Library Service – Removal of Newspapers & Reduction in Online Subscriptions	£20k
Youth Service – Reduction in Funding to GAVO (Holiday Scheme Co-Ordinator Post)	£15k
Total	£393k

Full details of these savings, along with Equality Impact Assessments are included in the Report to Special Education for Life Scrutiny on 7<sup>th</sup> December 2017.

4.2.6 To advise Members, in 2017/18 the budget for Safeguarding (£125k) was vired (transferred) to Social Services. Similarly in 2017/18, the Non-Operational budget (for vacant properties & land) of £105k was vired to Property Services. In both instances the purpose of spend has not changed, simply line management responsibility.

4.2.7 In total the Directorate's net budget for 2018/19 is £125m (excluding Central Support Service Apportionments) of which £102m (which includes £4m Post 16 funding) forms the Individual Schools Budgets.

The detailed Financial Plan pages are included in **Appendix 1**.

#### **4.3 Medium-Term Financial Strategy 2018/2023**

- 4.3.1 The Authority's potential savings requirement for the 5 year period 2018/19 to 2022/23 is £40.822m (as reported to Council on 22nd February 2018). This is a total of £34.085m for the 4 year period following 2018/19.
- 4.3.2 Detailed work will be undertaken by Officers during the coming months to review savings proposals that have previously been considered but not yet implemented, and to identify new savings proposals for consideration.

#### **5. WELL-BEING OF FUTURE GENERATIONS**

- 5.1 Effective financial management is a key element in ensuring that the Well-being Goals within the Well-being of Future Generations Act (Wales) 2015 are met.

#### **6. EQUALITIES IMPLICATIONS**

- 6.1 Equality Impact Assessments (EIA's) have been completed for all the savings proposals that are anticipated to have a public impact. The EIA's were appended to the Medium Term Financial Plans – Savings Proposals for 2018/19 Report, this was presented to Special Education for Life Scrutiny Committee on 7<sup>th</sup> December 2017.

#### **7. FINANCIAL IMPLICATIONS**

- 7.1 As detailed throughout the report.

#### **8. PERSONNEL IMPLICATIONS**

- 8.1 For schools there is likely to be a requirement to reduce school based posts by up to 7, the majority of which will be through voluntary redundancies and early retirements.
- 8.2 In 2018-19 the Directorate will continue with the strategy of prudent vacancy management.
- 8.3 The budget proposals include provision to pay the living wage, as agreed by Council.
- 8.4 Should employees be placed at risk through the achievement of any of the agreed budget savings, they will be supported via the appropriate Council policies relating to redeployment and ultimately redundancy if necessary. The Council will also utilise other policies as appropriate e.g. voluntary severance.

#### **9. CONSULTATIONS**

- 9.1 The 2018/19 budget process involved extensive consultation, as detailed in a report to Council on 22nd February 2018.
- 9.2 There are no consultation responses that have not been reflected in this report.

#### **10. RECOMMENDATIONS**

- 10.1 Members are requested to note the contents of this report, following budget approval at Council on 22nd February 2018.

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Sarah Mutch, Early Years Manager  
Paul Warren, Strategic Lead for School Improvement  
Councillor Philippa Marsden, Cabinet Member for Education and Achievement  
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Councillor Barbara Jones, Deputy Leader and Cabinet Member for Finance, Performance and Governance  
Mike Eedy, Finance Manager (Environment Directorate)  
Anwen Cullinane, Senior Policy Officer (Equalities & Welsh Language)  
Julie Baker, Principal Finance Officer (Schools)  
Mike Lewis, Principal Accountant Education  
Lynne Donovan, Head of People Services

Background Papers:

- Report to Council (22<sup>nd</sup> February 2018) – Budget Proposals 2018/19 and Medium Term Financial Strategy 2018/2023
- Report to Education For Life Scrutiny Committee (7<sup>th</sup> December 2017) – Medium Term Financial Plan – Savings Proposals 2018/19

Appendices:

Appendix 1: Financial Plan 2018-19

<b>EDUCATION &amp; LIFELONG LEARNING</b>	<b>Original Estimate 2017/18 £</b>	<b>Revised Estimate 2017-18 £</b>	<b>Original Estimate 2018-19 £</b>
<b><u>SUMMARY</u></b>			
<b>PLANNING and STRATEGY</b>	<b>105,508,829</b>	<b>105,433,829</b>	<b>104,976,523</b>
<b>LEARNING, EDUCATION and INCLUSION</b>	<b>15,061,442</b>	<b>14,936,873</b>	<b>15,237,131</b>
<b>LIFELONG LEARNING</b>	<b>4,422,605</b>	<b>4,392,605</b>	<b>4,350,049</b>
<b>TOTAL SERVICE EXPENDITURE (Revenue)</b>	<b>124,992,876</b>	<b>124,763,307</b>	<b>124,563,703</b>

<b>EDUCATION &amp; LIFELONG LEARNING</b>	<b>Original Estimate 2017/18 £</b>	<b>Revised Estimate 2017-18 £</b>	<b>Original Estimate 2018-19 £</b>
<b><u>PLANNING and STRATEGY</u></b>			
<b>Individual Schools Budget</b>	<b>102,948,561</b>	<b>102,948,561</b>	<b>102,404,172</b>
<b>Post 16 Initiative (Grant Income)</b>	<b>(4,418,241)</b>	<b>(4,418,241)</b>	<b>(4,003,381)</b>
<b>Earmarked Formula Funding (inc. Joint Use Sites)</b>	<b>233,413</b>	<b>233,413</b>	<b>214,064</b>
<b>Schools LMS Contingencies</b>	<b>237,931</b>	<b>237,931</b>	<b>192,687</b>
<b>Other Direct School Related</b>			
Learning Support Staff Registration Fee	19,304	19,304	19,690
PFI Funding Gap	312,432	312,432	322,117
PFI Building Maintenance	47,285	47,285	48,230
School Rationalisations	28,096	28,096	-
Former Key Stage 2 Grant	1,340,939	1,340,939	1,370,822
Secondary Additional Funding	1,038,709	1,038,709	1,059,471
School Meal Admin. Utility & Telephone	415,583	415,583	423,893
Relief Supply Cover (SRB's & Maternity)	457,920	457,920	467,077
Copyright and Licensing (Schools)	68,100	68,100	69,461
	<b>3,728,368</b>	<b>3,728,368</b>	<b>3,780,761</b>
<b>Home to School/College Transport (Environment)</b>			
<b>Early Retirement Pension Costs of School Based Staff</b>	<b>1,780,301</b>	<b>1,780,301</b>	<b>1,815,907</b>
<b>Maintenance of Buildings &amp; Vacant Properties</b>	<b>409,437</b>	<b>334,437</b>	<b>-</b>
<b>Management &amp; Support Costs</b>	<b>589,059</b>	<b>589,059</b>	<b>572,313</b>
<b><u>EXPENDITURE TO DIRECTORATE SUMMARY</u></b>	<b>105,508,829</b>	<b>105,433,829</b>	<b>104,976,523</b>

<b>EDUCATION &amp; LIFELONG LEARNING</b>	<b>Original Estimate 2017/18 £</b>	<b>Revised Estimate 2017-18 £</b>	<b>Original Estimate 2018-19 £</b>
<b><u>LEARNING, EDUCATION and INCLUSION</u></b>			
<b>Social Inclusion</b>			
Psychological Service	465,874	465,874	476,492
Behaviour Support	170,930	170,930	174,704
Education Welfare Service	419,218	419,218	404,597
Youth Offending Team	53,129	53,129	52,292
Safeguarding (Transferred to Social Services)	94,657	-	-
School Based Counselling	272,247	272,247	278,243
	<b>1,476,055</b>	<b>1,381,398</b>	<b>1,386,328</b>
<b>Additional Learning Needs</b>			
ALN Advisory Support Service	213,747	213,747	218,572
Learning Support	92,610	92,610	10,693
Professional/Statementing	59,510	59,510	63,760
Language Support Primary	484,020	484,020	428,848
Specialist Resources	60,973	60,973	42,239
ALN Improvement Initiative	92,355	92,355	349,478
Childrens Centre	45,981	45,981	46,900
SNAP Cymru	37,004	37,004	37,744
Outreach Trinity Fields	48,683	48,683	49,657
Speech Therapy	49,418	49,418	50,406
Hearing & Language Service	225,674		
ComIT	140,600		
VI Service	411,292		
<b>SENCOM (Sensory Service)</b>		777,566	715,647
Autism	166,504	166,504	198,962
Hospital Classes	3,605	3,605	-
	<b>2,131,976</b>	<b>2,131,976</b>	<b>2,212,906</b>
<b>Additional Support &amp; Out of County (Primary &amp; Secondary)</b>			
	<b>5,338,902</b>		
<b>Learning Pathways Partnership &amp; EOTAS</b>			
14 - 19 Initiative (Transport Costs)	173,850	173,850	166,967
EOTAS (Tuition / Alternative Prov. / Learning Centre)	1,694,945		
	<b>1,868,795</b>	<b>173,850</b>	<b>166,967</b>
<b>EOTAS, Additional Support &amp; Out of County Provision</b>			
		<b>7,033,847</b>	<b>7,277,092</b>
<b>Early Years Provision &amp; Support</b>			
Early Years (Rising 3's)	868,508	868,508	865,868
Early Years Central Team	363,789	363,789	373,198
	<b>1,232,297</b>	<b>1,232,297</b>	<b>1,239,066</b>
<b>LEI Service Provision</b>			
Service Support & Resources	360,393	330,481	312,802
SACRE	2,441	2,441	2,490
Outdoor Education Advisor SLA	28,989	28,989	29,568
School Improvement	337,898	337,898	344,858
Music Service	488,475	488,475	499,949
WJEC & Subscriptions	35,004	35,004	35,704
	<b>1,253,200</b>	<b>1,223,288</b>	<b>1,225,371</b>



<b>EDUCATION &amp; LIFELONG LEARNING</b>	<b>Original Estimate 2017/18 £</b>	<b>Revised Estimate 2017-18 £</b>	<b>Original Estimate 2018-19 £</b>
<b>Education Achievement Service (EAS) &amp; Regional Grant Match Funding</b>			
Contribution to EAS Joint Working	1,113,750	1,113,750	1,070,012
Education Improvement Grant - Match Funding	646,467	646,467	659,389
	1,760,217	1,760,217	1,729,401
<b>EXPENDITURE TO DIRECTORATE SUMMARY</b>	<b>15,061,442</b>	<b>14,936,873</b>	<b>15,237,131</b>
<b><u>LIFELONG LEARNING</u></b>			
Community Education	1,673,692	1,673,692	1,663,832
Library Service	2,628,731	2,628,731	2,595,826
LLL Insurance & Non Operational Property/Land	120,182	90,182	90,391
<b><u>EXPENDITURE TO SERVICE SUMMARY</u></b>	<b>4,422,605</b>	<b>4,392,605</b>	<b>4,350,049</b>



## EDUCATION FOR LIFE SCRUTINY COMMITTEE – 3RD JULY 2018

**SUBJECT: EDUCATION & LIFELONG LEARNING GRANTS 2018-19**

**REPORT BY: CHIEF EDUCATION OFFICER**

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### 1. PURPOSE OF REPORT

- 1.1 To provide Members with details of grant funding available to the Education & Lifelong Learning Directorate in 2018-19.

### 2. SUMMARY

- 2.1 The report provides brief details of the grant funding currently available, although Members need to be aware that further grants can be made available in year subject to new funding sources or the successful outcome of bids.
- 2.2 The report provides a brief description of the intended purpose of the grant funding. More detailed information on individual grants can be made available to Members, if requested.

### 3. LINKS TO STRATEGY

- 3.1 The effective use of grant funding and resources within the Directorate is necessary to ensure that key strategies are achieved. This will support the following Well-being Goals within the Well-being of Future Generations Act (Wales) 2015, in particular as follows :
- A healthier Wales, supporting vulnerable learners can improve their well-being and educational achievement.
  - A more equal Wales, a society that enables people to fulfil their potential no matter what their background is; and
  - A Wales of cohesive communities, improving the quality of life with attractive, viable, safe and well connected communities.

### 4. THE REPORT

- 4.1 The attached Appendix 1 provides summary details of grants currently available to the Directorate in 2018-19. It includes a list of the grants, the grant funding bodies, the value of the grant, a brief description of the purpose of the funding, together with details of the responsible officer.
- 4.2 Members will note that there is a significant level of grant funding available to the Directorate in 2018-19, with the figure currently estimated at £31m. In addition Members will note that funding is made available from a number of different sources.

- 4.3 Each grant offer made to the Authority is dependent on adherence to a pre-defined set of terms and conditions, as stipulated by the awarding body. The purpose of the terms and conditions is for the grant body to be able to satisfy itself that the grant has been spent within the scope and purpose of the funding.
- 4.4 In addition the terms and conditions will outline the period of the grant, how the grant will be paid, the timescale for submission of grant claims and reports and also whether the grant is subject to an annual audit review. The requirement for an audit review will stipulate whether this needs to be undertaken by either the Authority's Internal or External Auditors. The purpose of the audit review is to ensure compliance with the terms and conditions of the grant through a process of sample testing. The attached Appendix 1 identifies which of the 2018-19 grants require an audit review. During the review any queries raised are investigated and any further information required by Audit is provided prior to an Audit Report being produced. The details of the outcome of the audit are then made available to the awarding body as specified.
- 4.5 To be aware, even where a grant does not require a specific audit the Grant Body reserve the right to have access to documents and information relating to grant monies and may exercise this right, at all reasonable times, if deemed necessary.
- 4.6 Within the Directorate, the Finance Division maintains a database of all grants that have been awarded to the Directorate for the year. This provides key information for financial planning and also ensures that grant income and the associated expenditure are incorporated into the budget monitoring reports that are produced for the Directorate.
- 4.7 It is important to advise that the Education Achievement Service has a key role in the co-ordination of a number of regional grants, as identified in Appendix 1.

## **5. WELL-BEING OF FUTURE GENERATIONS**

- 5.1 Effective financial management is a key element in ensuring that the Well-being Goals within the Well-being of Future Generations Act (Wales) 2015 are met.

## **6. EQUALITIES IMPLICATIONS**

- 6.1 There are no direct equality implications to this report, therefore no Equality Impact Assessment has been undertaken. All grant schemes are implemented in line with the requirements of the Strategic Equality Plan 2016-2020 and in compliance with the Welsh Language Standards. All operational work undertaken to implement the Directorate's key strategies will be assessed individually as appropriate.

## **7. FINANCIAL IMPLICATIONS**

- 7.1 In 2018-19 there is currently an estimated £31m due into the Education & Lifelong Learning Directorate in the way of grant funding. The issue with regards to grant funding is the degree of uncertainty for future planning purposes, since in most instances the grants are awarded on an annual basis which poses issues for medium and long term planning.
- 7.2 As mentioned previously, all grants are subject to specific terms and conditions and this could include a requirement that the grant is subject to External Audit. If this is the case the Authority's External Auditors will scrutinise financial and non financial data relating to the grant, as per the Audit Instructions, to ensure that all expenditure complies with the terms and conditions. If External Audit identify that there has been a failure to comply then potentially the grant funding body could claw back the grant funding previously awarded and paid, impacting directly on the Authority's budget in that year.

7.3 In 2018/19 Members should be aware of the following:

- EIG (Education Improvement Grant) funding has reduced across the Region by circa 3.7%.
- Welsh Government funding for Minority Ethnic Achievement / Gypsy Roma Travellers is no longer part of the EIG grant. Funding was initially cut but following concerns raised by various Stakeholders to WG, funding has now been identified for 2018/19.
- Community First funding (Youth Project) ended in 2017/18 (£422k to Education in 2017-18), with a reduced level of Legacy funding into the Authority in 2018/19.
- School Uniform Grant – ended for 2018/19 (equated to circa £41k in previous years).
- Flying Start & Families First Funding has reduced by 1.8% in 2018/19. Equates to circa £94k for Flying Start and £16k for Families First funded projects within the Directorate.
- New Welsh Government Funding in 2017/18, which continues into 2018/19
  - Childcare Grant
  - School Business Managers Pilot
  - Reducing Infant Class Size Grant
  - NQT – Supply Cluster Pilot
  - Feminine Hygiene (Hardware & Toilet Facilities / Hygiene Products)
- Post 16 Funding into the Authority has reduced by £415k in 2018/19, due to a reduced number of predicted learners (3 year predicted average over 2017/18, 2018/19 and 2019/20).
- Youth Support Grant Funding has reduced by 11% (£24k) in 2018/19.

## 8. PERSONNEL IMPLICATIONS

8.1 In circumstances where grant funding is reduced or withdrawn, employees placed at risk will be supported via the appropriate Council policies relating to redeployment and ultimately redundancy if necessary.

8.2 It is most likely that these redundancy costs would need to be met by the Authority.

## 9. CONSULTATIONS

9.1 Consultation discussions and responses have been reflected in this report.

## 10. RECOMMENDATIONS

10.1 Members are requested to note the contents of this report.

## 11. STATUTORY POWER

11.1 Local Government Act 1972.

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Sarah Ellis, Lead for Inclusion & ALN

Sarah Mutch, Early Years Manager

Paul Warren, Strategic Lead for School Improvement

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Anwen Cullinane, Senior Policy Officer (Equalities & Welsh Language)  
Julie Baker, Principal Finance Officer (Schools)  
Mike Lewis, Principal Accountant Education  
Lynne Donovan, Head of People Services

Appendices:  
Appendix 1

Grants available to the Directorate in 2018-19.

Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Education Achievement Service (EAS)	Welsh Government	Education Improvement Grant (EIG) - Regional	To support 3 national priorities for schools - improving standards in literacy; standards in numeracy; and reducing the impact of poverty on educational attainment. To support Welsh 1st & 2nd language in schools, grant needs to coincide with the authorities WESP (Welsh in Education Plan) Part of the Regional EIG Grant in 2018-19. This figure includes match of £631,964 funding provided by the Authority.	Revenue	Yes	Yes	7,043,724
Education Achievement Service (EAS)	Welsh Government	Education Improvement Grant (EIG) - Regional (Non-Maintained Early Years)	Part of the Regional EIG Grant, Distributed to Non-maintained Early Years Childcare Settings within the borough	Revenue	No	Yes	4,881
		<b>Total Education Improvement Grant (Including Match Funding - circa £632k)</b>					<b>7,048,605</b>
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - LAC & Adopted Pupils Grant	To raise the standards of LAC & Adopted pupils. Part of the Regional PDG Grant in 2017-18	Revenue	No	Yes	221,130
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - 5-15 (Pupil Deprivation Grant)	Allocation to Schools based on free school meals. Terms of the grant are very similar to EIG grant but the grant should only be spent on pupils identified as being in receipt of FSM. Part of the Regional Grant Funding.	Revenue	No	Yes	5,891,450
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - 3 - 4 (Pupil Deprivation Grant) Early Years Allocation (New)	To deliver activity to raise the attainment of learners who are eligible for free school meals or who are Look After Children, adopted from care or subject of a Special Guardianship Order. This additional PDG funding is for the extension of PDG to eligible 3 and 4 year olds in educational settings.	Revenue	No	Yes	647,500
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - EOTAS	Indicative Allocation Based on 2017/2018	Revenue	No	Yes	31,482
Education Achievement Service (EAS)	Welsh Government	Pupil Development Grant (PDG) - (Non-Maintained Early Years)	Part of the Regional PDG Grant, Distributed to Non-maintained Early Years Childcare Settings within the borough	Revenue	No	Yes	12,912
		<b>Performance Development Grant (PDG) Total</b>					<b>6,804,474</b>
Sarah Ellis - Lead for Inclusion & ALN	Welsh Government	Minority Ethnic Achievement Grant & Gypsy Roma Traveller Learners Grant	To support for English as an additional language whose home language is neither English or Welsh and as a result may be at risk of underachieving at School.	Revenue	No	Yes	76,265
Sarah Mutch - Early Years Manager	Welsh Government	Flying Start Revenue Grant	To promote the welfare of children & their parents through the provision of childcare, health visiting, speech language support & parenting support in 2018-19.	Revenue	No	Yes	5,120,443
Sarah Mutch - Early Years Manager	Welsh Government	Flying Start Capital Grant	Completion of Penyreheol - extend the Family Centre to provide additional childcare facilities and space to deliver family support programmes etc. Carry over from 2017/2018	Capital	No	Yes	44,984

Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Sarah Mutch - Early Years Manager	Welsh Government	Childcare Offer Early Implementer Grant	Funding to support the implementation of the Childcare Offer. This funding is for the period April 2018 to 30th September 2019.	Revenue	No	No	124,500
Sarah Mutch - Early Years Manager	Welsh Government	Childcare Offer Early Implementer Grant - 3 & 4 yr old Childcare Pilot	The costs associated with the delivery of the early implementation of the childcare offer within the whole of Caerphilly.	Revenue	No	No	4,414,000
Fiona Santos - Early Years and Childcare Co-Ordinator	Welsh Government	Out of School Childcare Grant	To support Out of School childcare provision in the Borough. Improving childcare provision / identifying & meeting gaps in provision based on the results of Childcare Sufficiency Assessments. Supporting the Assisted Places Scheme	Revenue	No	Yes	128,686
Paul O'Neil - Community Education Manager	Welsh Government	Youth Support Grant	To support youth engagement & progression; targeted and specific youth work to meet local need and target the most vulnerable young people; sustain the Engagement & Progression Co-Ordinator function.	Revenue	No	Yes	190,373
Sue Richards - Head of Service Education, Planning & Strat	Welsh Government	Community Learning Provision (Adult Education)	To support Adult Community Learning in the Borough.	Revenue	No	No	304,785
Jane Southcombe - Finance Manager (Education, Lifelong Learning & Schools)	Welsh Government	Post 16 Provision in Schools	Funding for 6th Form Pupils (Years 12 & 13). Allocation is based on predicted learners (3 year average over 2017/18, 2018/19 and 2019/20). Reduction in funding of £415k linked to a reduction in predicted learners from 1,160 in 2017/18 to 1,066 in 2018/19. In 2019/20 the Post 16 budget is due to decrease by £9.8m across Wales (on a £92m budget) and consideration will need to be given to have this reduction will be applied. Indicative figures for Caerphilly show a small increase in predicted number of learners for 2019/20.	Revenue	No	No	4,003,381
Paul Warren - Strategic Lead for School Improvement	Welsh Government	National Strategy for Small and Rural Schools	To encourage innovation, to support greater school to school working, to provide additional administrative support in schools where the head teacher has a significant timetabled teaching commitment of at least 10% of the timetable and to increase community use of the school buildings whether for educational or non-educational purposes.	Revenue	No	To be confirmed	103,618
Paul Warren - Strategic Lead for School Improvement	Welsh Government	Reduction of Infant Class Sizes	To reduce infant class sizes to below 29 in the schools identified in the proposals; to employ additional teachers at schools identified in the proposals; criteria for the funding is infant classes of 29 or more pupils, in schools that demonstrate at least one, or a combination of the following: 1. Significant levels of free school meals; 2. Below average outcomes and where a school is judged to be red or amber; 3. Significant levels of additional learning needs; 4. Significant levels of where Welsh / English is not the first language. Funding allocation of £961,983 for the period 2017/18 to 2020/21. [£180k - as per initial proposals for 2018/19]. Potential to submit a bids against a capital allocation for this grant, however need to link with 21st Century Band B proposals.	Revenue	No	To be confirmed	180,372

Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Jane Southcombe - Finance Manager (Education, Lifelong Learning & Schools)	Welsh Government	School Business Managers Pilot	Match funding to support innovative pilots encouraging the greater use of School Business Managers - providing dedicated support for leaders and teachers, so that they can better focus on raising standards in schools. 2 Pilots currently working in clusters - led by Heolddu & YG Cwm Rhymini.	Revenue	No		32,500
Paul Warren - Strategic Lead for School Improvement	Welsh Government	School Based Supply Cluster Grant	To explore alternative & innovative arrangements via school based cluster arrangements to cover teacher absence by making arrangements to recruit and employ one newly and / or recently qualified teacher in the critical first 3 years of their career post qualification. (Bedwas Cluster)	Revenue	No		48,030
Keri Cole - Chief Education Officer	Welsh Government	Provision of Feminine Hygiene Products	Provision of feminine hygiene products to women & girls from low income households who cannot afford them in the local authority area. This may be through partnerships with the third sector organisations, food banks, community hubs or in schools and other educational establishments.	Revenue	No	No	13,206
Sue Richards - Head of Service Education, Planning & Strat	Welsh Government	21st Century Schools - Band A	In respect of the additional classrooms at Newbridge School and the demolition of Cwmcarn High.	Capital	Yes	Yes	745,995
Paul Warren - Strategic Lead for School Improvement	Public Health Wales	Local development of the Welsh Network of Healthy Schools Scheme (WNHSS)	To facilitate implementation of school level measures, changes in curriculum/teaching, policy/practice and environment that is constant with good health outcomes.	Revenue	No	No	86,487
Fiona Santos - Early Years and Childcare Co-Ordinator	Public Health Wales	Healthy and Sustainable Pre-School Setting Scheme	To facilitate implementation of national guidance, changes in planning and delivery, policy/practice and environment that are consistent with good health outcomes.	Revenue	No	No	14,850
Fiona Santos - Early Years and Childcare Co-Ordinator	Public Health Wales	Healthy and Sustainable Pre-School Setting Scheme (Childhood Obesity Prevention)	To accelerate implementation of evidence based action to prevent obesity in pre-school children measured by the Child Measurement Programme (Wales)	Revenue	No	No	15,000
Clare Ewings - Community Education	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Targeted Youth and Family Engagement	To support young people and their families to build resilience, confidence and self-esteem, and equip them with skills which help them make a meaningful contribution to society. TYFE consists of: Youth Engagement, Family Engagement, Young Parents	Revenue	No	Yes as part of the FF grant Audit.	478,898
Nia Parsons - Advisory Teacher	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Outreach SRB	The Outreach SRB is a service for Nursery children with severe and specific speech, language and communication needs. We identify / support appropriate children for the service within their own school. Some of these children could eventually access a part time assessment placement within a Specialist Resource Base for speech, language and communication needs.	Revenue	No	Yes as part of the FF grant Audit.	133,317
Pauline Elcock - Basic Skills Manager	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Families Learning Together.	identifies families with Essential Skills needs and delivers programmes of learning through groups in schools and in the community, and on a 121 basis in the home. These programmes address the literacy, numeracy and language needs of parents and children and increase parents' understanding of how to support their children's learning and development.	Revenue	No	Yes as part of the FF grant Audit.	154,491



Responsible Officer	Grant Body	Grant Title	Description / Comments 2018-19	Revenue / Capital	Match Funding Required	Audit Req. Yes / No	Award in 2018-19 £
Fiona Santos - Early Years and Childcare Co-Ordinator	Welsh Government (part of Families First Grant which is now administered by Social Services)	Families First - Assisted and Supported Places and ISCAN Coordinator	Assisted and Supported Places Grant Value is £93k, funded from the Families First Grant. To fund 50% Childcare Placement Officer, 100% ISCAN coordinator who coordinates the ISCAN multi-agency panel and mobile creche to support 2 PLANET events hosted by Parent Network.	Revenue	No	Yes as part of the FF grant Audit.	93,130
Lisa Davies - Community Education	Internal - Soc Serv	Promoting Positive Engagement (formerly Youth Crime Prevention Fund)	The Project focuses on 8 to 25 year olds who are at risk of becoming involved in crime or anti social behaviour. Delivery relates to community engagement and development, family links, behaviour and accreditation opportunities. The Project works in partnership with Communities First, Families First and Caerphilly Community Safety.	Revenue	No	Yes	67,485
Lisa Davies - Community Education	Internal - Legacy	Legacy - Youth	To provide youth work support to young people within the legacy priority areas within Caerphilly - formerly part of the Communities First Grant	Revenue	No	Yes	58,000
Sue Richards - Head of Service Education, Planning & Strat	European	Inspire 2 Work . Lead - Blaenau Gwent CBC.	To provide tailored support for young people aged 16-24 who are NEET to address and overcome their barriers, increase their self esteem, develop employability skills and move closer to the labour market. This will result in young people gaining valuable qualifications, entering further learning and gaining employment.	Revenue	Yes	Sample Testing WEFO	278,000
Sue Richards - Head of Service Education, Planning & Strat	European	Bridges into Work II. Lead - Torfaen CBC.	The project will support the reduction of poverty by increasing employment levels, particularly for underrepresented groups and those furthest from the labour market. The operation will target economically inactive and long term unemployed people aged 25+ living in non-Community First areas.	Revenue	Yes	Sample Testing WEFO	361,000
Sue Richards - Head of Service Education, Planning & Strat	European	Workings Skills for Adults II. Lead - Torfaen CBC.	The Project aims to target employed individuals outside of their employment context, supporting those unwilling to admit skills deficits to their employers or whose employers fail to engage.	Revenue	Yes	Sample Testing WEFO	128,000

Awaiting confirmation

<b>TOTAL ANTICIPATED GRANTS</b>	<b>31,252,875</b>
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## EDUCATION FOR LIFE SCRUTINY COMMITTEE – 3RD JULY 2018

**SUBJECT: ADDITIONAL LEARNING NEEDS (ALN) ACT AND REFORM**

**REPORT BY: CHIEF EDUCATION OFFICER**

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### 1. PURPOSE OF REPORT

- 1.1 To update Members regarding the current status of the Additional Learning Needs (ALN) and Tribunal (Wales) Act (2018) and implications.

### 2. SUMMARY

- 2.1 The Additional Learning Needs and Education Tribunal (Wales) Act (2018) was passed by the National Assembly for Wales in December 2017 and given Royal assent in January 2018. The aim of the Act is to create a unified process for children and young people 0-25 that will improve outcomes with a single statutory framework.
- 2.2 The Act will create the legislative framework to improve the planning and delivery of additional learning provision, through a person-centred approach to identifying needs early, putting in place effective support and monitoring, and adapting interventions to ensure they deliver desired outcomes.
- 2.3 The Act will be supported by secondary legislation and an Additional Learning Needs Code (statutory guidance and mandatory requirements) which will be subject to consultation.
- 2.4 Process of introduction of the Act is also subject of consultation. Welsh Government (WG) have appointed five transformation leads to support the process (in the South Wales consortia area this is Tracey Pead).
- 2.5 Local authorities (Las) have a responsibility to ensure that the crucial elements of the Act and Code are in place to support learners aged 0-25 and that they work effectively with other agencies such as Health and Social Services.
- 2.6 The expectation is that the system will come into force in September 2020 and introduced over a two to three year period.

### 3. LINKS TO STRATEGY

- 3.1 Additional Learning Needs and Educational Tribunal (Wales) Act (2018).
- 3.2 The Wellbeing of Future Generations Act (Wales) 2015.
- 3.3 Social Services and Wellbeing Act.

## **4. REPORT**

### **4.1 Core Aspects of the reform**

- Improved outcomes for young people
- New terminology
- Single statutory plan
- Person Centred Practice
- Age range 0-25 years
- Duty to consider learners views
- Welsh language duties promoting bilingual system
- Role of LA in maintaining more complex plans
- Role of Health and Social Services
- Simpler less adversarial system

4.2 Welsh Government has introduced a transformation programme in order to support the process with a focus on five key areas:

- Legislation and guidance
- Workforce development (Core skills development for all, Specialist skills development for Advisory Teacher's and Educational Psychologists, ALNCo role)
- Implementation and transition support
- Awareness raising
- Supporting policy

4.3 Presently feedback from WG indicates that there are predicted to be savings associated with a more effective system; however, there is likely to be an increased cost over the three year implementation. The timeframe for implementation is expected to last 3 years (2020 – 2023) and WG has committed £20m to funding the transformation programme across Wales.

4.4 There is ongoing consultation regarding the implementation which relates to how this can be achieved, for example with one start date for all, phased by those with current Statements, by phase - early years and school then post 16, by Key Stage, at times of transition, by pilot authorities then all others.

4.5 Presently LAs are working with the 'ALN transformation fund' developing regional partnerships to focus on key areas of the reform. In the SEWC region the focus is on building capacity in the Early years, developing the role of the ALNco, developing post 16 work, developing common processes, sharing best practice.

4.6 Welsh Government has produced a self-evaluation toolkit for schools and LAs to support them in identifying readiness for the reform and areas for development.

## **5. IMPLICATIONS**

5.1 The ALN Act has implications for Local Authorities (LAs), schools, Further Education institutions, non maintained early education providers, Social Services and Health.

5.2 LAs will be responsible for learners with additional learning needs (ALN) from 0 to 25, ensuring they can access suitable education and/or training, including compulsory education and specialist post-16 education or training where necessary.

The ALN Code will impose mandatory requirements on (LAs in respect of:

- information and advice services
- independent advocacy services
- the preparation, content, form, review and revision of IDPs
- ceasing to maintain IDPs.

- 5.3 LAs must provide information to children to ensure they have information about the new system, ensure there is clear information available regarding rights of appeal, and make arrangements for dispute resolution
- 5.4 LAs should also consider an Early Years Additional Learning Needs Lead Officer role to ensure robust planning.
- 5.5 Individual development plans (IDPs) will replace statements of special educational needs (SEN) and individual education plans (IEPs) for learners currently supported through Early Years Action/School Action or Early Years Action Plus/School Action Plus. Where required, the school will decide whether the child or young person has additional learning needs (ALN) and, if so, put an IDP in place. Schools can refer such decisions to the local authority if:
- determining the extent and nature of the young person's ALN, or the additional learning provision (ALP) that they require, is beyond the school's capability
  - it would not be reasonable for the school itself to secure the required provision.
- 5.6 The ALN Code will impose mandatory requirements on the governing body of a maintained school in Wales in respect of decisions about ALN, the preparation, content, form, review and revision of IDPs, and ceasing to maintain IDPs.
- 5.7 This also applies to further education and institutions can refer back to the LA if the provision required is beyond the capability of the institution or if the provision cannot be secured.
- 5.8 In relation to Social Services it will be essential that departments work together to plan changes and transitions particularly where children have an IDP that would form part of the Personal Education Plan (PEP) for a looked after child.
- 5.9 Local authorities and further education institutions (FEIs) can request that the health service considers whether there's a relevant treatment or service likely to be of benefit in addressing the learner's ALN. If there is, the health service must secure it.
- 5.10 Where the health service believes that a child has (or probably has) ALN, they must bring this to the attention of the appropriate local authority, if they believe that is in the child's best interests.
- 5.11 The statutory Designated Education Clinical Lead Officer (DECLLO) (appointed by Health) will promote consistency and equity to ensure evidence-based interventions to promote better outcomes and reduce inequalities.

## **6. NEXT STEPS**

- 6.1 LAs must be prepared to implement new systems; however, WG decide the transition will take place. It is expected that LAs and some schools will move towards this process in September 2020, with the complete system becoming operational from September 2023. It is essential that the LA move towards a person centred approach and develop systems and plans that mirror the principles of the Act without compromising the current systems. The LA must ensure effective work with colleagues and parents and children in order that the implications of the Act are understood.
- 6.2 Priorities for the SEWC region are outlined above and the focus is on seeking to secure coherent and collaborative regional working in relation to key developments. In relation to Caerphilly the focus is on:
- ensuring there is clear understanding of the implications and promoting more effective joint
  - working with other agencies
  - ensuring effective working relationships with parents and carers
  - developing services flexibly to meet needs (EPS)

- ensuring processes and procedures are developed in advance of implementation date(s) e.g. new paper work
- ensuring any other developments within the LA (additional support delegation, funding of SRB's) are in line with the Act.

6.3 The Education Achievement Service (EAS) are supporting LAs by raising awareness of the ALN Act in Governing Training with the ALN transformation lead.

## **7. WELL-BEING OF FUTURE GENERATIONS**

7.1 The ALN Act contributes to the Well-being Goals as set out in Links to Strategy above. It is consistent with the five ways of working as defined within the sustainable development principle in the Act and more specifically for the principles noted below.

Collaboration – a fundamental principal of the Act focuses on improving collaboration and creating a unified system.

Long term – the Act focuses on meeting the needs of children from 0-25

Prevention – the focus of the Act on identification of need and ensuring appropriate provision to meet needs.

## **8. EQUALITIES IMPLICATIONS**

8.1 Council's full Equalities Impact Assessment (EIA) process is adhered to at all times.

## **9. FINANCIAL IMPLICATIONS**

9.1 Whilst there are no immediate financial implications associated with the report itself there may be costs associated with the reform and its implementation, for example workforce development, increased age ranges, provision for placements and The Special Educational Needs Tribunal for Wales (SENTW). Until the (SEN) Code of Practice is issued, and further details are known, costs over the implementation period and how these can be funded, cannot be estimated.

## **10. PERSONNEL IMPLICATIONS**

10.1 There are no personnel implications directly associated with this report. WG has indicated that LAs should consider an early years role to support planning, and there may be implications in terms of work force development associated with statutory responsibilities.

## **11. CONSULTATIONS**

11.1 The report reflects the views of the consultees.

## **12. RECOMMENDATIONS**

12.1 That Members note the content of the report.

## **13. STATUTORY POWER**

13.1 Additional Learning Needs and Tribunal Act (Wales) 2018.  
Well-being of Future Generations (Wales) Act 2015.  
Education Act 1996.  
Equality Act 2010.  
United Nations Convention on The Rights Of The Child.

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Cllr Philippa Marsden, Cabinet Member, Education and Achievement  
Cllr Derek Havard, Chair of Education for Life Scrutiny Committee  
Cllr Carol Andrews, Vice Chair Education for Life Scrutiny Committee  
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